



**SIES COLLEGE OF ARTS, SCIENCE AND COMMERCE, (AUTONOMOUS),
SION (W), MUMBAI -400 022
NAAC SSR – CYCLE 4 : 2018-2023**


Metric No. 6: 6.4.2

The following are the funds/grants received from government bodies, non-government bodies, and philanthropists during the year 2018-19 (not covered in Criterion III and V)

Following are the Audited Statements (INR in Lakhs):

Sr. No.	Audited Statements	Page No.
1.	RUSA Annual Report	1 - 2
2.	Autonomous UGC Grant	3 - 5
3.	SIES PSA Fund	6 - 10

This is to certify that the attached documents have been verified and found to be true.


Principal
SIES College of Arts, Science &
Commerce (Autonomous)
Sion (West), Mumbai - 400 022.



Principal

College Seal



College of Arts,
Science & Commerce
(Autonomous)

RISE WITH EDUCATION

AFFILIATED TO UNIVERSITY OF MUMBAI - NAAC REACCREDITED - 'A' GRADE

Rashtriya Uchcharat Shiksha Abhiyan (RUSA)

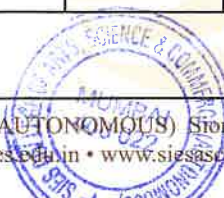
Component 8: Enhancing Quality and Excellence in Select Autonomous College

As on 22-10-2022

Audited statement of Year-wise Utilization of RUSA Grant for Autonomous College

Sr.no	Sanctioned budget heads	Allocation of Funds out of 5 cr (In Rs)	Expenditure incurred (Financial year wise)					Total UC
			2018-19	2019-20	2020-2021	2021-2022	2022-2023	
1.0	Bio Analytical Lab	35,00,000	0	34,90,325		9,386	5,450	35,05,161
2.0	New Auditorium	20,00,000	20,00,000					20,00,000
3.0	Sports Facilities	20,00,000	0			19,54,099	1,29,338	20,83,437
4.0	Books/ Journals / E-Resources / Furnitures	6,00,000	70,800	4,94,649		33,467		5,98,916
5.0	Lab Equipments	20,00,000	0	93,052	17,79,864	99,705	24,358	19,96,979
6.0	Computer, Scanner, Photocopying & Printer	49,00,000	77,000		4,35,200	26,73,000	16,30,307	48,15,507
7.0	MIS Software for administration	5,00,000	0		5,00,000			5,00,000
8.0	Upgradation of Toilet (Girls & Boys)	21,00,000	15,00,000	6,00,000			1,80,747	22,80,747
9.0	Academic Staff Center for Humanities	15,00,000	15,00,000			1,32,071	1,06,802	17,38,873
10.0	Research Center for Humanities	8,00,000	0			29,600		29,600
11.0	Library - Plagiarism software and RFID Security system	8,00,000	0	8,34,302				8,34,302
12.0	Classroom electrification and ICT enabled	20,00,000	0	13,570		4,71,268	9,07,565	13,92,403
13.0	Upgradation of Laboratories - Microbiology, Physics, Biotechnology, Psychology & Tally Lab	1,02,00,000	3,00,000	32,56,199	50,00,885			85,57,084
14.0	Renovation of Computer Centre - 6 Labs of Computer Science and Information Technology	30,00,000	32,800		18,00,000	3,16,400	3,34,782	24,83,982
15.0	Research - International Seminar	18,00,000		12,60,886	-58,202			12,02,684

CERTIFIED TRUE COPY



[Signature]
Principal

Rashtriya Uchchar Shiksha Abhiyan (RUSA)
Component 8: Enhancing Quality and Excellence in Select Autonomous College
As on 22-10-2022

Audited statement of Year-wise Utilization of RUSA Grant for Autonomous College

Sr.no	Sanctioned budget heads	Allocation of Funds out of 5 cr (In Rs)	Expenditure incurred (Financial year wise)					Total UC
			2018-19	2019-20	2020-2021	2021-2022	2022-2023	
16.0	2 nos. Multimedia Room & 1 no. Virtual Room	30,00,000		30,00,000				30,00,000
17.0	Vertical Garden	4,00,000		92,960			2,14,826	3,07,786
18.0	Canteen Amenities and Furniture	4,00,000				4,06,897	1,22,591	5,29,488
19.0	Academic Building Renovation	35,00,000	55,924	22,30,101		4,96,181	40,13,888	67,96,094
20.0	Entrepreneurship and Skill Hub							0
i	Seed Money for Internship	12,00,000	0		75,000	1,52,866	1,11,000	3,38,866
ii	Research Assistant	4,00,000	0	1,69,500	1,82,000	2,26,000	1,41,000	7,18,500
iii	Honorarium	5,00,000	1,19,880	1,23,500		2,68,500	70,000	5,81,880
iv	Training Tools / Software	5,00,000	0	3,08,361		1,55,065	39,043	5,02,469
v	Portal of EC & SH	1,00,000	0		97,854			97,854
vi	Workshop / Training / Seminar / Conference for students	7,00,000	50,240	1,52,990	2,300	2,86,035	8,000	4,99,565
vii	Creation of Skill Hub	11,00,000	1,53,907	10,56,312			27,778	12,37,997
viii	Computer, Printer, Scanner and Web Cam	5,00,000	0			3,28,512	5,71,634	9,00,146
	TOTAL	5,00,00,000	58,60,551	1,71,76,707	98,14,901	80,39,052	86,39,109	4,95,30,321
	Released funds	5,00,00,000	58,60,551	1,71,76,707	98,14,901	80,39,052	86,39,109	4,95,30,321

CERTIFIED TRUE COPY



Amal
Principal
SIES College of Arts, Science & Commerce (Autonomous)
Sion (West), Mumbai - 400 022.

**SIES COLLEGE OF ARTS, SCIENCE & COMMERCE
SION (WEST), MUMBAI-400 022**

UTILIZATION CERTIFICATE FOR THE YEAR 2018-2019 in respect of recurring / non-recurring GRANT-IN-AID / SALARIED / CREATION OF CAPITAL ASSETS

1. Name of the Scheme : Financial Assistance to Colleges under the scheme **Autonomous College**
2. Whether recurring or non-recurring grants:
3. Grants position of the beginning of the Financial Year: 2018-2019
 - i) Cash in Hand / Bank: Nil
 - ii) Unadjusted advances : Nil
 - iii) Total : Nil
4. Details of grants received, expenditure incurred and closing balances: (Actuals)

Unspent Balances of Grants received years [figure as at Sl.No.3(iii)]	Interest earned thereon	Interest deposited back to the Government	Grant received during the year			Total Available funds (1+2+3+4)	Expenditure incurred	Closing Balance (5-6)
			Sanction No. (i)	Date (ii)	Amount (iii)			
1	2	3	4			5	6	6
--	2876.71	--	F.27-25/18(Gen, ST, SC-3/WRO)	22.02.2019	2000000	2002876.71	2037018.00	(-)34141.

Component wise utilization of grants:

Grant-in-aid-General	Grant-in-aid-Salary	Grant-in-aid-creation of Capital Assets	Total
1265504.00	100000.00	671514.00	2037018.00

5. Details of grants position at the end of the year:
 - i) Cash in Hand / Bank: Nil
 - ii) Unadjusted advances : Nil
 - iii) Total : Nil

Certified that I have satisfied myself that the conditions on which the grants were sanctioned have been duly fulfilled / are being fulfilled and that I have exercised following checks to see that the money has been actually utilized for the purpose for which it was sanctioned:

- i) The main accounts and other subsidiary accounts and registers (including assets registers are maintained as prescribed in the relevant Act/Rules/Standing instructions (mention the Act/Rules) and have been duly audited by designated auditors. The figures depicted above tally with the audited mentioned in financial statements / accounts.



Principal
SIES College of Arts, Science & Commerce (Autonomous)
Sion (West), Mumbai - 400 022

**SIES COLLEGE OF ARTS, SCIENCE & COMMERCE
SION (WEST), MUMBAI-400 022**

- ii) There exist internal controls for safeguarding public funds/assets, watching outcomes and achievements of physical target against the financial inputs, ensuring quality in asset creation etc. & the periodic evaluation of internal controls is exercised to ensure their effectiveness.
- iii) To the best of our knowledge and belief, no transactions have been entered that are in violation of relevant Act / Rules / Standing instructions and scheme guidelines.
- iv) The responsibilities among the key functionaries for execution of the scheme have been assigned in clear terms and are not general in nature.
- v) The benefit were extended to the intended beneficiaries and only such areas / districts were covered where the scheme was intended to operate.
- vi) The expenditure on various components of the scheme was in the proportions authorized as per the scheme guidelines and terms and conditions of the grants – in – aid.
- vii) It has been ensured that the physical and financial performance under _____ (name of the scheme has been according to the requirements, as prescribed in the guidelines issued by Govt. of India and the performance / targets achieved statement for the year to which the utilization of the fund resulted in outcomes given at Annexure – I duly enclosed.)
- viii) The utilization of the fund resulted in outcomes given at Annexure – II duly enclosed (to be formulated by the Ministry/Department concerned as per their requirements / specifications.
- ix) Details of various schemes executed by the agency through grants-in-aid received from the same Ministry or from other Ministries is enclosed at Annexure – II (to be formulated by the Ministry/Department concerned as per their requirements / specifications.)

Date: 28.08.2019

Place: Mumbai-400 022

Signature with Seal
DR V. SANKAR AIYAR & CO.
CHARTERED ACCOUNTANTS
FIRM REGN. No. 109208W

Name: Dr. Uma Shankar
(G. SANKAR)
(Government Auditor Chartered Accountant
With Membership No. 46950

Signature with Seal

Name: Dr. Uma Shankar
Principal of the College / Institution
Principal,
S I E S College of Arts, Science & Commerce
Sion (West), Mumbai - 400 022.



True Copy

Dr. Uma Shankar
Principal
SIES College of Arts, Science &
Commerce (Autonomous)
Sion (West), Mumbai - 400 022.

**SIES COLLEGE OF ARTS, SCIENCE & COMMERCE
SION (WEST), MUMBAI-400 022**

UNIVERSITY GRANTS COMMISSION

ASSETS CERTIFICATE FOR FURNITURE / EQUIPMENT

It is certified that inventories of permanent or semi-permanent assets created / acquired wholly or substantially out of the grants given by the University Grants Commission are being maintained in the prescribed form and are being kept up-to-date.



Signature of the Principal with seal

Principal,

**SIES College of Arts, Science & Commerce
Sion (West), Mumbai - 400 022.**



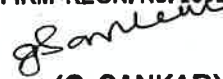
Signature of the Chartered Accountant

(with seal & Regd. No of CA)

OR V. SANKAR AIYAR & CO.

CHARTERED ACCOUNTANTS

FIRM REGN. No. 109208W



**(G. SANKAR)
PARTNER
M. No. 46050**



True Copy



Principal

**SIES College of Arts, Science &
Commerce (Autonomous)
Sion (West), Mumbai - 400 022.**



SCHEDULE - VIII
[Vide Rule 17(1)]

Registration No. F-4644(BOM)

Name of the Public Trust : SIES COLLEGE PAST STUDENTS ASSOCIATION

Balance Sheet As At 31ST MARCH 2019

FUNDS & LIABILITIES		Rs.	Rs.	PROPERTY & ASSETS		Rs.	Rs.
Trusts Funds or Corpus :-				Immovable Properties :- (At Cost)			
Balance as per last Balance Sheet	200,000.00		200,000.00	Balance as per last Balance Sheet	-		
Receipts during the year (give details)	-			Additions during the year	-		
				Less: Sales during the year	-		
				Depreciation up to date	-		
Other Earmarked Funds :-				Investments:-			
(Created under the provisions of the trust deed or scheme or out of the Income)				Note: The market value of the above investments is Rs.			
Silver Jubilee Fund as per previous year	100,000.00			Furniture & Fixtures:-			
Sinking Fund				Balance as per last Balance Sheet	2,000.00		
Reserve Fund as per previous year	122,250.00		283,726.00	Additions during the year	-		
Any other Fund as per previous year	61,476.00			Less: Sales during the year	-		
				Depreciation up to date	-		2,000.00
Loans (Secured or Unsecured):-				Loans (Secured or Unsecured): Good/ doubtful			
From Trustees				Loans Scholarships			
From Others				Other Loans			
Liabilities :-				Advances:-			
For Expenses				To Trustees			
For Advances				To Employees			
For Rent and Other Deposits				To Contractors			
For Sundry Credit Balances				To Lawyers			
				To Others			
Income and Expenditure Account:-				Income Outstanding:-			
Balance as per last Balance Sheet	14,892.00			Rent			
Less: Appropriation, if any	-			Interest - Accrued			
				Other Income			
Add: Surplus as per Income and Expenditure a/c				Cash and Bank Balances :-			
Less: Deficit				(a) In Saving Account with Indian Bank	141,096.00		
As per Contra	20,758.00			In Fixed Deposit Account with Bank	319,872.00		
				(b) With the Trustees	-		460,968.00
				(c) With the manager	-		
				Income and Expenditure Account:-			
				As per Contra			20,758.00
TOTAL			483,726.00	TOTAL			483,726.00



Significant Accounting Policies - As per Schedule
As per our report of even date

Income Outstanding :
(If accounts are kept on cash basis)
Rent :
Interest :
Other Income :
TOTAL Rs. :

The above Balance Sheet to the best of our belief contains a true account of the Funds & Liabilities and of the Property and Assets of the Trust.

Dated at: 20th APRIL, 2019

Chartered Accountants

Dated at 20th APRIL, 2019

S. SREENIVASAN
CHARTERED ACCOUNTANT
MUMBAI-19
M. No. 16547

SIES Past Students' Association
C/o. SIES College of Arts, Science & Commerce
Jain Society, Sion (West),



For **S.I.E.S. College Past Students' Association**

TRUSTEE

Authorized Signatories

The Bombay Public Trusts Act, 1950

SCHEDULE - VIII
[Vide Rule 17(1)]

Registration No. F-4644(BOM)

Name of the Public Trust : SIES COLLEGE PAST STUDENTS ASSOCIATION

Balance Sheet As At 31ST MARCH 2020

FUNDS & LIABILITIES		Rs.	Rs.	PROPERTY & ASSETS		Rs.	Rs.
Trusts Funds or Corpus :- Balance as per last Balance Sheet Receipts during the year (give details)		200,000.00	200,000.00	Immovable Properties :- (At Cost) Balance as per last Balance Sheet Additions during the year Less: Sales during the year Depreciation up to date		-	-
Other Earmarked Funds :- (Created under the provisions of the trust deed or scheme or out of the Income) Silver Jubilee Fund as per previous year Sinking Fund Reserve Fund as per previous year Any other Fund as per previous year		283,726.00	283,726.00	Investments:- Note: The market value of the above investments is Rs. Furniture & Fixtures:- Balance as per last Balance Sheet Additions during the year Less: Sales during the year Depreciation up to date		2,000.00	2,000.00
Loans (Secured or Unsecured):- From Trustees From Others				Loans (Secured or Unsecured): Good/ doubtful Loans Scholarships Other Loans			
Liabilities :- For Expenses For Advances For Rent and Other Deposits For Sundry Credit Balances				Advances:- To Trustees To Employees To Contractors To Lawyers To Others			
Income and Expenditure Account:- Balance as per last Balance Sheet Less: Appropriation, if any				Income Outstanding:- Rent Interest - Accrued Other Income			
Add: Surplus as per Income and Expenditure a/c Less: Deficit brought forward from last year		27,924.00 20,758.00	7,166.00	Cash and Bank Balances :- (a) In Saving Account with Indian Bank In Fixed Deposit Account with Bank (b) With the Trustees (c) With the manager		69,020.00 419,872.00	488,892.00
TOTAL			490,892.00	TOTAL			490,892.00



Significant Accounting Policies - As per Schedule As per our report of even date

Income Outstanding : (If accounts are kept on cash basis)

Rent
Interest
Other Income

TOTAL Rs. :

Dated at: 06th August 2020

SREENIVASAN
CHARTERED ACCOUNTANT
M. No: 165 47



Dated at 06th August 2020

For SIES College Past Students' Association

Authorized Signatories
TRUSTEE

The Bombay Public Trusts Act, 1950

SCHEDULE - VIII
[Vide Rule 17(1)]

Name of the Public Trust : SIES COLLEGE PAST STUDENTS ASSOCIATION
Balance Sheet As At 31ST MARCH 2021

Registration No. F-454(ROM)

FUNDS & LIABILITIES		Rs.	Rs.	PROPERTY & ASSETS		Rs.	Rs.
Trusts Funds or Corpus :-				Immovable Properties :- (At Cost)			
Balance as per last Balance Sheet	2,00,000.00			Balance as per last Balance Sheet			
Receipts during the year (give details)	-	2,00,000.00		Additions during the year			
				Less: Sales during the year			
				Depreciation up to date			
Other earmarked Funds :-				Investments:-			
(Created under the provisions of the trust deed or scheme or out of the Income)				Note: The market value of the above investments is Rs			
Depreciation Fund	-			Furniture & Fixtures:-		2,000.00	
Sinking Fund	-			Balance as per last Balance Sheet			
Reserve Fund as per previous year	2,83,726.00		2,83,726.00	Additions during the year			
Any other Fund	-			Less: Sales during the year			2,000.00
				Depreciation up to date			
Loans (Secured or Unsecured):-				Loans (Secured or Unsecured): Good/ doubtful			
From Trustees				Loans Scholarships			
From Others				Other Loans			
Liabilities :-				Advances:-			
For Expenses				To Trustees			
For Advances				To Employees			
For Rent and Other Deposits				To Contractors			
For Sundry Credit Balances				To Lawyers			
				To Others			
Income and Expenditure Account:-				Income Outstanding:-			
Balance as per last Balance Sheet	7,166.00			Rent	18,420.00		18,420.00
Less: Appropriation, if any	-			Interest - Accrued			
Add: Surplus as per Income and Expenditure a/c	1,273.00		8,439.00	Other Income			
Less: Deficit				Cash and Bank Balances :-			
				(a) In Saving Account with Indian Bank	71,745.00		
				in Fixed Deposit Account with Bank	4,00,000.00		
				(b) With the Trustees			4,71,745.00
				With the Manager			
TOTAL			4,92,165.00	TOTAL			4,92,165.00

Significant Accounting Policies - As per Schedule
As per our report of even date

Income Outstanding
(If accounts are kept on cash basis)
Rent
Interest
Other Income
TOTAL Rs

The above Balance Sheet to the best of our belief contains a true account of
the Funds & Liabilities and of the Property and Assets of the Trust

[Signature]

R. Sukumar
Chartered Accountants
Auditors

Dated at Matunga on

7-5-2021

R. Sukumar
CHARTERED ACCOUNTANT
4, Vivek, Tilak Road,
Ghatkopar (E),
Mumbai-400 077



Dated at Matunga on

[Signature]

TRUSTEE
[Signature]

Name of the Public Trust : SIES COLLEGE PAST STUDENTS ASSOCIATION

Balance Sheet As At 31ST MARCH 2022

FUNDS & LIABILITIES	Rs.	Rs.	PROPERTY & ASSETS	Rs.	Rs.
Trusts Funds or Corpus :- Balance as per last Balance Sheet Receipts during the year (give details)	200,000.00	200,000.00	Immovable Properties :- (At Cost) Balance as per last Balance Sheet: Additions during the year Less: Sales during the year Depreciation up to date		
Other Earmarked Funds :- (Created under the provisions of the trust deed or scheme or out of the Income) Depreciation Fund Sinking Fund Reserve Fund as per previous year Add: Transfer from Income and Expenditure a/c Any other Fund	283,726.00 6,000.00	289,726.00	Investments:- Note: The market value of the above investments is Rs. Furniture & Fixtures:- Balance as per last Balance Sheet Additions during the year Less: Sales during the year Depreciation up to date	2,000.00	2,000.00
Loans (Secured or Unsecured):- From Trustees From Others			Loans (Secured or Unsecured): Good/ doubtful Loans Scholarships Other Loans		
Liabilities :- For Expenses For Advances For Rent and Other Deposits For Sundry Credit Balances			Advances:- To Trustees To Employees To Contractors To Lawyers To Others		
Income and Expenditure Account:- Balance as per last Balance Sheet Less: Appropriation, if any Add: Surplus as per Income and Expenditure a/c Less: Deficit	8,439.00 827.00	9,266.00	Income Outstanding:- Rent Interest - Accrued Other Income	17,191.00	17,191.00
			Cash and Bank Balances :- (a) In Saving Account with Indian Bank in Fixed Deposit Account with Bank (b) With the Trustees With the Manager	57,084.00 422,717.00	479,801.00
TOTAL		498,992.00	TOTAL		498,992.00

Significant Accounting Policies - As per Schedule
As per our report of even date

Income Outstanding :
(If accounts are kept on cash basis)
Rent :
Interest :
Other Income :
TOTAL Rs. :

The above Balance Sheet to the best of our belief contains a true account of
the Funds & Liabilities and of the Property and Assets of the Trust.

7 MAY 2022

Dated at Matunga on

Chartered Accountants
Auditors

Dated at Matunga on

For S.I.E.S. College Past Students' Association

TRUSTEE
Authorised Signatory



26164040AJISAP2035
For (A/S. PUNIT DEDHIA & ASSOCIATES)
CHARTERED ACCOUNTANTS



UDIN :

SCHEDULE - VIII
[Vide Rule 17(1)]

Registration No. F-4644(BOM)

Name of the Public Trust : SIES COLLEGE PAST STUDENTS ASSOCIATION

Balance Sheet As At 31ST MARCH 2023

FUNDS & LIABILITIES	Rs.	Rs.	PROPERTY & ASSETS	Rs.	Rs.
Trusts Funds or Corpus :- Balance as per last Balance Sheet Receipts during the year (give details)	2,00,000.00	2,00,000.00	Immovable Properties :- (At Cost) Balance as per last Balance Sheet: Additions during the year Less: Sales during the year Depreciation up to date		
Other Earmarked Funds :- (Created under the provisions of the trust deed or scheme or out of the Income) Depreciation Fund Sinking Fund Reserve Fund as per previous year Add: Transfer from Income and Expenditure a/c Any other Fund	2,83,726.00 6,000.00	2,89,726.00	Investments:- Note: The market value of the above investments is Rs. Furniture & Fixtures:- Balance as per last Balance Sheet Additions during the year Less: Sales during the year Depreciation up to date	2,000.00	2,000.00
Loans (Secured or Unsecured):- From Trustees From Others			Loans (Secured or Unsecured): Good/ doubtful Loans Scholarships Other Loans		
Liabilities :- For Expenses For Advances For Rent and Other Deposits For Sundry Credit Balances			Advances:- To Trustees To Employees To Contractors To Lawyers To Others		
Income and Expenditure Account:- Balance as per last Balance Sheet Less: Appropriation, if any Add: Surplus as per Income and Expenditure a/c Less: Deficit	9,266.00 1,53,175.00	1,62,441.00	Income Outstanding:- Rent Interest - Accrued Other Income	3,030.00	3,030.00
			Cash and Bank Balances :- (a) In Saving Account with Indian Bank in Fixed Deposit Account with Bank (b) With the Trustees With the Manager	2,95,527.00 3,50,000.00 1,610.00	6,47,137.00
TOTAL		6,52,167.00	TOTAL		6,52,167.00

Significant Accounting Policies - As per Schedule
As per our report of even date

Income Outstanding
(If accounts are kept on cash basis)
Rent
Interest
Other Income
TOTAL Rs. :

The above Balance Sheet to the best of our belief contains a true account of the Funds & Liabilities and of the Property and Assets of the Trust.

Dated:



For M/s. PUNIT DEDHIA & ASSOCIATES
CHARTERED ACCOUNTANTS

Punit Dedhia
Proprietor

Chartered Accountants
Auditors

Dated 15th July 2023



Kamala
TRUSTEE

Kamala